

JC839 U.S. PTO
01/31/01

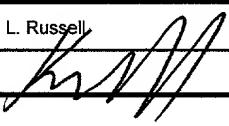
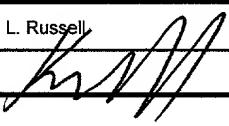
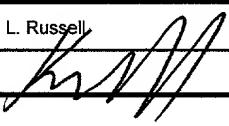
02-02-01

A

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37CFR§1.53(b))

Attorney Docket No.	KLR 7146.084
First Inventor or Application Identifier	Jagtar Singh Saroya
Title	WAVE PLATE MOUNTING
Express Mail Label No.	EL619333094US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.		Commissioner for Patents & Trademarks ADDRESS TO: Box Patent Application Washington, D.C. 20231																																							
<p>1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification Total pages 17</p> <p>(preferred arrangement set forth below)</p> <ul style="list-style-type: none"> - Descriptive Title of the Invention - Cross References to Related Applications - Statement Regarding Federally Sponsored Research - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 																																									
<p>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) Total Pages 3</p> <p>4. Oath or Declaration Total Pages 2</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR §1.63(d)) (for continuation/divisional with Box 16 completed)</p> <p>i. <input type="checkbox"/> <u>Deletion of Inventor(s)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§1.53(d)(2) and 1.33(b)</p>																																									
<p>Note for Items 1 & 13: In order to be entitled to pay small entity fees, a small entity statement is required (37 CFR §1.27), except if one filed in a prior application is relied upon (37 CFR §1.28)</p>																																									
<p>5. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer readable copy b. <input type="checkbox"/> Paper copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies 																																									
<p>7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>8. <input checked="" type="checkbox"/> 37 CFR §3.73(b) Statement <input type="checkbox"/> Power of Attorney when there is an assignee</p> <p>9. <input type="checkbox"/> English translation document (if applicable)</p> <p>10. <input type="checkbox"/> Information Disclosure Statement (IDS) /PTO 1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>11. <input type="checkbox"/> Preliminary Amendment</p> <p>12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (should be specifically itemized)</p> <p>13. <input type="checkbox"/> *Small Entity Statements (PTO/sb/09-12) <input type="checkbox"/> Statement filed in prior application. Status still proper and desired.</p> <p>14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>15. <input type="checkbox"/> Other</p>																																									
<p>16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No. _____ Prior application information: Examiner _____ Group No./Art Unit _____</p> <p>For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>																																									
<p>17. CORRESPONDENCE ADDRESS</p> <table border="1"> <tr> <td><input type="checkbox"/> Customer Number or Bar Code Label</td> <td colspan="3">(Insert customer number or attach bar code label here)</td> <td>or <input checked="" type="checkbox"/> Correspondence address below</td> </tr> <tr> <td>Name</td> <td colspan="3">Kevin L. Russell</td> <td></td> </tr> <tr> <td>Address</td> <td colspan="3">601 SW Second Ave., Suite 1600</td> <td></td> </tr> <tr> <td>City</td> <td>Portland</td> <td>State</td> <td>OR</td> <td>Zip Code</td> <td>97204-3157</td> </tr> <tr> <td>Country</td> <td>USA</td> <td>Telephone</td> <td>(503)227-5631</td> <td>FAX</td> <td>(503)228-4373</td> </tr> <tr> <td>Name (print type)</td> <td colspan="3">Kevin L. Russell</td> <td>Registration No.</td> <td>38,292</td> </tr> <tr> <td>Signature</td> <td colspan="3"></td> <td>Date</td> <td>January 31, 2001</td> </tr> </table>			<input type="checkbox"/> Customer Number or Bar Code Label	(Insert customer number or attach bar code label here)			or <input checked="" type="checkbox"/> Correspondence address below	Name	Kevin L. Russell				Address	601 SW Second Ave., Suite 1600				City	Portland	State	OR	Zip Code	97204-3157	Country	USA	Telephone	(503)227-5631	FAX	(503)228-4373	Name (print type)	Kevin L. Russell			Registration No.	38,292	Signature				Date	January 31, 2001
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FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT \$1,008

Complete If Known

Application Number	N/A
Filing Date	January 31, 2001
First Named Inventor	Jagtar Singh Saroya
Examiner Name	N/A
Group/ Art Unit	N/A

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1009/77/01

01/31/01

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge the indicated fees and credit any over payments to:

Deposit Account Number 03-1550
Deposit Account Name Chernoff Vilhauer McClung & Stenzel

Charge any additional fee required under 37 CFR 1.16 & 1.17

2. Payment Enclosed

Check Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity
Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	690	201	345	Utility filing fee	690
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)				\$690	

2. EXTRA CLAIM FEES

		Extra Claims	Fee from below	Fee Paid
Total Claims	31	-20* = 11	X 18 =	198
Indep. Claims	5	-3* = 2	X 40 =	80
Multiple Dependent				

*or number of previously paid, if greater. For reissues, see below.

Large Entity Small Entity
Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim, if not paid
109	78	209	39	*Reissue independent claims over original patent
110	18	210	9	*Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				\$278

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Statement	
561	40	581	40	Recording each patent assignment per property (times number of properties)	\$40
146	690	246	345	Filing a submission after final rejection (37 C.F.R. 1.129(a))	
149	690	249	345	For each additional invention to be examined (37 C.F.R. 1.129(b))	

Other (specify)

Other (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$40

SUBMITTED BY

Complete (if applicable)

Name (print type)	Kevin L. Russell	Registration No.	38,292	Telephone	(503) 227-5631
Signature				Date	January 31, 2001